

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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## RAW SEQUENCE LISTING

DATE: 10/14/2000

PATENT APPLICATION: US/09/485,571

TIME: 18:30:34

Input Set : A:\Breese-9.app

Output Set: N:\CRF3\10132000\I485571.raw

3 <110> APPLICANT: Calas, et al.  
 5 <120> TITLE OF INVENTION: Linear peptides derived from antibiotic peptides,  
 6 preparation and use for vectoring active substances  
 8 <130> FILE REFERENCE: 19904-009 BREESE-9  
 10 <140> CURRENT APPLICATION NUMBER: 09/485,571  
 11 <141> CURRENT FILING DATE: 2000-06-09  
 13 <150> PRIOR APPLICATION NUMBER: WO 99/07728  
 14 <151> PRIOR FILING DATE: 1998-08-06  
 16 <160> NUMBER OF SEQ ID NOS: 38  
 18 <170> SOFTWARE: PatentIn Ver. 2.0  
 20 <210> SEQ ID NO: 1  
 21 <211> LENGTH: 18  
 22 <212> TYPE: PRT  
 23 <213> ORGANISM: Artificial Sequence  
 25 <220> FEATURE:  
 26 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically  
 27 synthesized  
 29 <400> SEQUENCE: 1  
 30 Arg Gly Gly Arg Leu Cys Tyr Cys Arg Arg Arg Phe Cys Val Cys Val  
 31 1 5 10 15  
 33 Gly Arg  
 37 <210> SEQ ID NO: 2  
 38 <211> LENGTH: 16  
 39 <212> TYPE: PRT  
 40 <213> ORGANISM: Artificial Sequence  
 42 <220> FEATURE:  
 43 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically  
 44 synthesized  
 46 <400> SEQUENCE: 2  
 47 Arg Gly Gly Arg Leu Cys Tyr Cys Arg Arg Arg Phe Cys Ile Cys Val  
 48 1 5 10 15  
 51 <210> SEQ ID NO: 3  
 52 <211> LENGTH: 18  
 53 <212> TYPE: PRT  
 54 <213> ORGANISM: Artificial Sequence  
 56 <220> FEATURE:  
 57 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically  
 58 synthesized  
 60 <400> SEQUENCE: 3  
 61 Arg Gly Gly Gly Leu Cys Tyr Cys Arg Arg Arg Phe Cys Val Cys Val  
 62 1 5 10 15  
 64 Gly Arg  
 68 <210> SEQ ID NO: 4  
 69 <211> LENGTH: 18  
 70 <212> TYPE: PRT  
 71 <213> ORGANISM: Artificial Sequence  
 73 <220> FEATURE:

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RAW SEQUENCE LISTING                      DATE: 10/14/2000  
 PATENT APPLICATION: US/09/485,571        TIME: 18:30:34

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74 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
75     synthesized
77 <400> SEQUENCE: 4
78 Arg Gly Gly Arg Leu Cys Tyr Cys Arg Gly Trp Ile Cys Phe Cys Val
79   1           5           10           15
81 Gly Arg
85 <210> SEQ ID NO: 5
86 <211> LENGTH: 18
87 <212> TYPE: PRT
88 <213> ORGANISM: Artificial Sequence
90 <220> FEATURE:
91 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
92     synthesized
94 <400> SEQUENCE: 5
95 Arg Gly Gly Arg Leu Cys Tyr Cys Arg Pro Arg Phe Cys Val Cys Val
96   1           5           10           15
98 Gly Arg
102 <210> SEQ ID NO: 6
103 <211> LENGTH: 18
104 <212> TYPE: PRT
105 <213> ORGANISM: Artificial Sequence
107 <220> FEATURE:
108 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
109     synthesized
111 <400> SEQUENCE: 6
112 Arg Arg Trp Cys Phe Arg Val Cys Tyr Arg Gly Phe Cys Tyr Arg Lys
113   1           5           10           15
115 Cys Arg
119 <210> SEQ ID NO: 7
120 <211> LENGTH: 18
121 <212> TYPE: PRT
122 <213> ORGANISM: Artificial Sequence
124 <220> FEATURE:
125 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
126     synthesized
128 <400> SEQUENCE: 7
129 Arg Arg Trp Cys Phe Arg Val Cys Tyr Lys Gly Phe Cys Tyr Arg Lys
130   1           5           10           15
132 Cys Arg
136 <210> SEQ ID NO: 8
137 <211> LENGTH: 17
138 <212> TYPE: PRT
139 <213> ORGANISM: Artificial Sequence
141 <220> FEATURE:
142 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
143     synthesized
145 <400> SEQUENCE: 8
146 Lys Trp Cys Phe Arg Val Cys Tyr Arg Gly Ile Cys Tyr Arg Arg Cys
147   1           5           10           15

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Input Set : A:\Breese-9.app  
 Output Set: N:\CRF3\10132000\I485571.raw

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149 Arg
153 <210> SEQ ID NO: 9
154 <211> LENGTH: 17
155 <212> TYPE: PRT
156 <213> ORGANISM: Artificial Sequence
158 <220> FEATURE:
159 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
160     synthesized
162 <400> SEQUENCE: 9
163 Arg Trp Cys Phe Arg Val Cys Tyr Arg Gly Ile Cys Tyr Arg Lys Cys
164   1             5             10             15
166 Arg
170 <210> SEQ ID NO: 10
171 <211> LENGTH: 17
172 <212> TYPE: PRT
173 <213> ORGANISM: Artificial Sequence
175 <220> FEATURE:
176 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
177     synthesized
179 <400> SEQUENCE: 10
180 Lys Trp Cys Phe Arg Val Cys Tyr Arg Gly Ile Cys Tyr Lys Arg Cys
181   1             5             10             15
183 Arg
187 <210> SEQ ID NO: 11
188 <211> LENGTH: 18
189 <212> TYPE: PRT
190 <213> ORGANISM: Artificial Sequence
192 <220> FEATURE:
193 <221> NAME/KEY: VARIANT
194 <222> LOCATION: (1)..(18)
195 <223> OTHER INFORMATION: Xaa may be the amino acids as defined in the spec
197 <220> FEATURE:
198 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
199     synthesized
201 <400> SEQUENCE: 11
W--> 202 Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
203   1             5             10             15
W--> 205 Xaa Xaa
209 <210> SEQ ID NO: 12
210 <211> LENGTH: 18
211 <212> TYPE: PRT
212 <213> ORGANISM: Artificial Sequence
214 <220> FEATURE:
215 <221> NAME/KEY: VARIANT
216 <222> LOCATION: (1)..(18)
217 <223> OTHER INFORMATION: Xaa may be the amino acids as defined in the spec
219 <220> FEATURE:
220 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
221     synthesized

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223 <400> SEQUENCE: 12
W--> 224 Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
225      1              5              10              15
W--> 227 Xaa Xaa
231 <210> SEQ ID NO: 13
232 <211> LENGTH: 18
233 <212> TYPE: PRT
234 <213> ORGANISM: Artificial Sequence
236 <220> FEATURE:
237 <221> NAME/KEY: VARIANT
238 <222> LOCATION: (1)..(18)
239 <223> OTHER INFORMATION: Xaa may be the amino acids as defined in the spec
241 <220> FEATURE:
242 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
243     synthesized
245 <400> SEQUENCE: 13
W--> 246 Arg Xaa Xaa Arg Xaa Xaa Xaa Arg Arg Arg Xaa Xaa Xaa Xaa
247      1              5              10              15
W--> 249 Xaa Arg
253 <210> SEQ ID NO: 14
254 <211> LENGTH: 18
255 <212> TYPE: PRT
256 <213> ORGANISM: Artificial Sequence
258 <220> FEATURE:
259 <221> NAME/KEY: VARIANT
260 <222> LOCATION: (1)..(18)
261 <223> OTHER INFORMATION: Xaa may be the amino acids as defined in the spec.
263 <220> FEATURE:
264 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
265     synthesized
267 <400> SEQUENCE: 14
W--> 268 Arg Arg Xaa Xaa Xaa Arg Xaa Xaa Xaa Arg Xaa Xaa Xaa Arg Arg
269      1              5              10              15
W--> 271 Xaa Arg
275 <210> SEQ ID NO: 15
276 <211> LENGTH: 18
277 <212> TYPE: PRT
278 <213> ORGANISM: Artificial Sequence
280 <220> FEATURE:
281 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
282     synthesized
284 <400> SEQUENCE: 15
285 Arg Gly Gly Arg Leu Ser Tyr Ser Arg Arg Arg Phe Ser Val Ser Val
286      1              5              10              15
288 Gly Arg
292 <210> SEQ ID NO: 16
W--> 293 <400> SEQUENCE: 16
W--> 294 .000
296 <210> SEQ ID NO: 17

```

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PATENT APPLICATION:  US/09/485,571                 TIME: 18:30:34

Input Set : A:\Breese-9.app
Output Set: N:\CRF3\10132000\I485571.raw
```

```

297 <211> LENGTH: 18
298 <212> TYPE: PRT
299 <213> ORGANISM: Artificial Sequence
301 <220> FEATURE:
302 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
303     synthesized
305 <400> SEQUENCE: 17
306 Arg Gly Val Ser Val Ser Phe Arg Arg Arg Ser Tyr Ser Leu Arg Gly
307   1               5               10               15
309 Gly Arg
313 <210> SEQ ID NO: 18
314 <211> LENGTH: 18
315 <212> TYPE: PRT
316 <213> ORGANISM: Artificial Sequence
318 <220> FEATURE:
319 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
320     synthesized
322 <400> SEQUENCE: 18
323 Glu Gly Gly Glu Leu Ser Tyr Ser Glu Glu Glu Phe Ser Val Ser Val
324   1               5               10               15
326 Gly Glu
330 <210> SEQ ID NO: 19
331 <211> LENGTH: 18
332 <212> TYPE: PRT
333 <213> ORGANISM: Artificial Sequence
335 <220> FEATURE:
336 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
337     synthesized
339 <400> SEQUENCE: 19
340 Arg Gly Gly Arg Leu Ala Tyr Arg Leu Arg Phe Ala Ile Arg Val
341   1               5               10               15
343 Gly Arg
347 <210> SEQ ID NO: 20
348 <211> LENGTH: 18
349 <212> TYPE: PRT
350 <213> ORGANISM: Artificial Sequence
352 <220> FEATURE:
353 <221> NAME/KEY: VARIANT
354 <222> LOCATION: (1)..(18)
355 <223> OTHER INFORMATION: Xaa may be the amino acids as defined in the spec.
357 <220> FEATURE:
358 <223> OTHER INFORMATION: Description of Artificial Sequence: chemically
359     synthesized
361 <400> SEQUENCE: 20
362 Xaa Gly Gly Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa Xaa
363   1               5               10               15
365 Xaa Gly
369 <210> SEQ ID NO: 21
370 <211> LENGTH: 18

```

**Please Note:**

**Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.**

## VERIFICATION SUMMARY

DATE: 10/14/2000

PATENT APPLICATION: US/09/485,571

TIME: 18:30:35

Input Set : A:\Breese-9.app

Output Set: N:\CRF3\10132000\I485571.raw

L:11 M:271 C: Current Filing Date differs, Replaced Current Filing Date  
L:202 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:11  
L:205 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:11  
L:224 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12  
L:227 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:12  
L:246 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:249 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:13  
L:268 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:14  
L:271 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:14  
L:293 M:283 W: Missing Blank Line separator, <400> field identifier  
L:294 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (16) SEQUENCE:  
L:362 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:20  
L:365 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:20  
L:384 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:21  
L:485 M:283 W: Missing Blank Line separator, <400> field identifier  
L:486 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (28) SEQUENCE:  
L:489 M:283 W: Missing Blank Line separator, <400> field identifier  
L:490 M:300 W: (50) Intentionally skipped Sequence, : Sequence Id (29) SEQUENCE:  
L:524 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:31  
L:527 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:31  
L:566 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:33  
L:585 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:34  
L:607 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:35  
L:610 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:35  
L:629 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:36  
L:651 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:37  
L:674 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:38  
L:677 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:38